

## 【NEWS RELEASE】

September 16, 2021  
SMBC Nikko Securities Inc.

**Organizational and Personnel Changes**

SMBC Nikko Securities Inc. announces organizational and personnel changes as follows.

**1. Organizational Changes** (Effective as of September 16, 2021)

## (1) System

- System Planning & Operation Dept. is renamed System Planning Dept..
- System Security Planning Dept. is established.

## (2) Global Capital Markets

- Capital Markets Solutions Dept. is abolished and the business is transferred to Financial Solution Dept., Industrial Research Dept..
- Structured Finance Dept. is abolished and the business is transferred to Financial Solution Dept., Investment Banking Strategies Section and Investment Banking Strategies Section is reorganized as Investment Banking Strategies Dept..
- Capital Markets Planning & Administration Dept. is abolished and the business is transferred to Global Capital Markets Promotion Section, Investment Banking Business Support Dept., Wholesale Business Planning Dept., Wholesale Business Administration Dept..
- SDGs Finance Section is reorganized as Sustainable Finance Dept..

## (3) Corporate Business

- Compensation & Benefit Planning Solutions Dept., Business Processing of Compensation & Benefit Plan Dept. are reorganized as Stock Plan Solutions Dept..

## 2. Change in Responsibility of Executive Officer (Effective as of September 16, 2021)

Shinsuke Yoshioka	Executive Officer, Head of System, Deputy Head of Digital Innovation and General Manager, System Planning Dept.
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## 3. Personnel Changes (Effective as of September 16, 2021)

Taiki Habiro	Deputy General Manager, Shinjuku Branch
Naoki Teshima	General Manager, Matsumoto Branch
Junichi Kinoshita	General Manager, Gifu Branch
Takahiro Takeuchi	Deputy General Manager, Nagoya Branch
Akihiro Moriguchi	General Sales Manager, Yokohama Branch
Tomoko Sekiguchi	General Sales Manager, Yokohama Branch
Tomonobu Oda	Deputy General Manager, Osaka Branch
Shinsuke Takahashi	General Sales Manager, Kyoto Branch
Kazuhiro Kishimoto	Deputy General Manager, Bingomachi Branch
Yoshihiro Kitano	Deputy General Manager, Ikebukuro Branch
Tatsuya Miura	Deputy General Manager, Main Branch
Tomoyuki Hashimoto	Deputy Head of System and General Manager, System Security Planning Dept.
Yoko Chivers	General Manager, Sustainable Finance
Hideki Iwaguma	Deputy Head of Global Capital Markets Origination and Section Manager, Global Capital Markets Promotion
Hideyuki Shinike	Co-General Manager, Stock Plan Solutions
Norimasa Fujii	Co-General Manager, Stock Plan Solutions
Akira Nishiguchi	General Manager, Capital Markets Execution
Ren Motokawa	General Manager, Investment Banking Strategies
Yuusuke Ishimaru	General Manager, Mergers and Acquisitions Advisory

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